

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Bruno DE LIGNIERES

Title:

TREATMENT OF MASTALGIA WITH

4-HYDROXY TAMOXIFEN

Appl. No.:

10/734,640

Filing Date:

12/15/2003

Examiner:

Unassigned

Art Unit:

1614

## UNDER 37 CFR §1.56

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

## RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicant respectfully requests that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

- Clodel

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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE

> STATEMENT BY APPLICANT Date Submitted: October 18, 2004

(use as many sheets as necessary)

Sheet 1 of

Complete if Known					
Application Number	10/734,640				
Filing Date	12/15/2003				
First Named Inventor	Bruno DE LIGNIERES				
Group Art Unit	1614				
Examiner Name	Not yet assigned				
Attornev Docket Number	029488-0111				

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document			Date of Publication of	Pages, Columns, Lines,
		Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear
	A1	4,919,937		Pierre Mauvais-Jarvis	4/24/1990	

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Examiner Initials*	Cite No. <sup>1</sup>	Fore Office <sup>3</sup>	eign Patent D Number <sup>4</sup>	ocument Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A2	J. BARRAT et al., "Effet <i>in vivo</i> de l'administration locale de progestérone sur l'activité mitotique des galactophores humains", J. Gynecol. Obstet. Biol. Reprod. 19: 269-274 1990.	
	А3	Bronaugh & Maibach, "Percutaneous Absorption Drugs-Cosmetics-Mechanisms-Methodology", Marcel Dekker Inc., New York, 1999.	
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Examiner Signature		Date Considered	

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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number. Substitute for form 1449B/PTO Complete if Known INFORMATION DISCLOSURE **Application Number** 10/734,640 Filing Date STATEMENT BY APPLICANT 12/15/2003 First Named Inventor Bruno DE LIGNIERES Date Submitted: October 18, 2004 Group Art Unit 1614 (use as many sheets as necessary) **Examiner Name** Not yet assigned Sheet 2 Attorney Docket Number of 029488-0111

		NON PATENT LITERATURE DOCUMENTS	- "
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
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	A8	I.S. FENTIMAN et al., "Dosage and duration of tamoxifen treatment for mastalgia: a controlled trial", BR. J. SURG. September 1988, Vol. 75, No. 9, pp. 845-846.	
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	INFORMATI	ON DISCLO	SURE	Application Number	10/734,640	
	STATEMEN	T BY APPLI	CANT	Filing Date	12/15/2003	
	Date Submitte	d: Ootobor 1	0 2004	First Named Inventor	Bruno DE LIGNIERES	
	Date Submitte	a. October i	0, 2004	Group Art Unit	1614	
	(use as many :	sheets as ne	cessary)	Examiner Name	Not yet assigned	
Sheet	3	of	4	Attorney Docket Number	029488-0111	-

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	A18	David W. ROBERTSON et al., "Synthesis of the <i>E</i> and <i>Z</i> Isomers of the Antiestrogen Tamoxifen and Its Metabolite, Hydroxytamoxifen, in Tritium-Labeled Form", J. Org. Chem., 1982, Vol. 47, No. 12, pp. 2387-2393.	
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	A20	Fabrice SAUVEZ et al., "Cutaneously applied 4-hydroxytamoxifen is not carcinogenic in female rats", Carcinogenesis Vol. 20, No. 5, pp. 843-850 1999.	
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	A26	R.E. MANSEL et al., "A Double Blind Trial of the Prolactin Inhibitor Bromocriptine in Painful Benign Breast Disease", Br. J. Surg. Vol. 65, (1978), pp. 724-727.	
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